

# Clique Topology of the Stochastic Block Model

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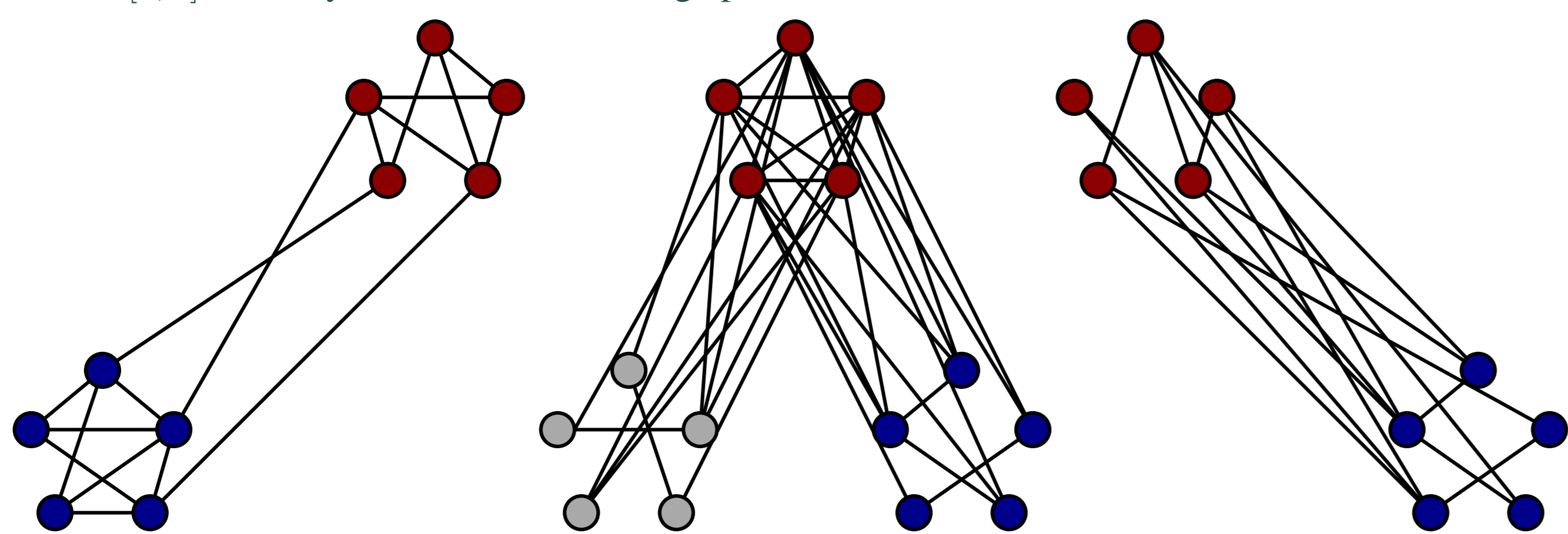
## Introduction

The stochastic block model has recently been employed as a generative graph model for real-world networks in a variety of fields including neuroscience [2]. In addition, persistent homology is gaining traction as a tool to study the higher order topology of networks, by providing topological signatures such as Betti curves [6]. Recent results in random topology provide the expected topological behaviour of the clique complex generated by common graph models such as the Erdős-Renyi graph [5]. We aim to study asymptotic homological properties of the clique complex generated by the stochastic block model via spectral methods first introduced by Hoffman, Kahle and Paquette [4].

## Stochastic Block Model

The Stochastic Block Model (SBM) is a generative random graph model in which the vertices are partitioned into blocks (or communities) that share a similar connectivity profile. It is defined by the following parameters:

- $n \in \mathbb{N}$ : the number of vertices
- $k \in \mathbb{N}$ : the number of blocks
- $\{q_i\}_{i=1}^n, q_i \in \{1, 2, \dots, k\}$ : a partition of the vertices into blocks
- $P \in [0, 1]^{k \times k}$ : a symmetric matrix of edge probabilities



## Normalized Laplacian and Spectral Gap

Graph-theoretic properties such as connectedness can often be described in terms of the spectra of matrices derived from the graph. Common matrices to study are the adjacency matrix  $A$  and Laplacian matrix  $L$ . Here we study the normalized Laplacian  $\mathcal{L}$ , defined as follows. Let  $G$  be a graph and let  $d_v$  denote the degree of vertex  $v$ . Then

$$\mathcal{L}(u, v) = \begin{cases} 1 & \text{if } u = v \text{ and } d_v \neq 0 \\ \frac{-1}{\sqrt{d_u d_v}} & \text{if } u \text{ and } v \text{ are adjacent} \\ 0 & \text{otherwise.} \end{cases}$$

Alternatively, we can define  $\mathcal{L}$  in terms of other matrices as  $\mathcal{L} = I - T^{-1/2} A T^{-1/2}$  where  $T$  is the diagonal matrix of degrees. The eigenvalues of  $\mathcal{L}$  are denoted in ascending order as

$$0 = \lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_n.$$

Then, the **spectral gap** is defined to be  $\lambda_2$ .

## Previous Work and Approach

Sharp threshold functions for vanishing and non-vanishing homology for the Erdős-Renyi graph have been shown by Kahle [5], and is summarized by the following theorem.

**Theorem (Clique Complex Topology of Erdős-Renyi Graphs)** Let  $k \geq 1$  and  $\epsilon > 0$  be fixed, and let  $X(n, p)$  be the clique complex generated by the Erdős-Renyi graph  $G(n, p)$ .

1. If

$$p \geq \left( \left( \frac{k}{2} + 1 + \epsilon \right) \frac{\log n}{n} \right)^{1/(k+1)}$$

then w.h.p.  $H^k(X, \mathbb{Q}) = 0$ .

2. If

$$\left( \frac{k+1+\epsilon}{n} \right)^{1/k} \leq p \leq \left( \left( \frac{k}{2} + 1 - \epsilon \right) \frac{\log n}{n} \right)^{1/(k+1)}$$

then w.h.p.  $H^k(X, \mathbb{Q}) \neq 0$ .

The following theorem connects the cohomology of a simplicial complex with the spectral gap of the underlying graph [1].

**Theorem (Cohomology Vanishing Theorem)** Let  $\Delta$  be a pure  $D$ -dimensional finite simplicial complex such that for every  $(D-2)$ -dimensional face  $\sigma$ , the link  $\text{lk}_\Delta(\sigma)$  and has spectral gap

$$\lambda_2[\text{lk}_\Delta(\sigma)] > 1 - \frac{1}{D}.$$

Then  $H^{D-1}(\Delta, \mathbb{Q}) = 0$ .

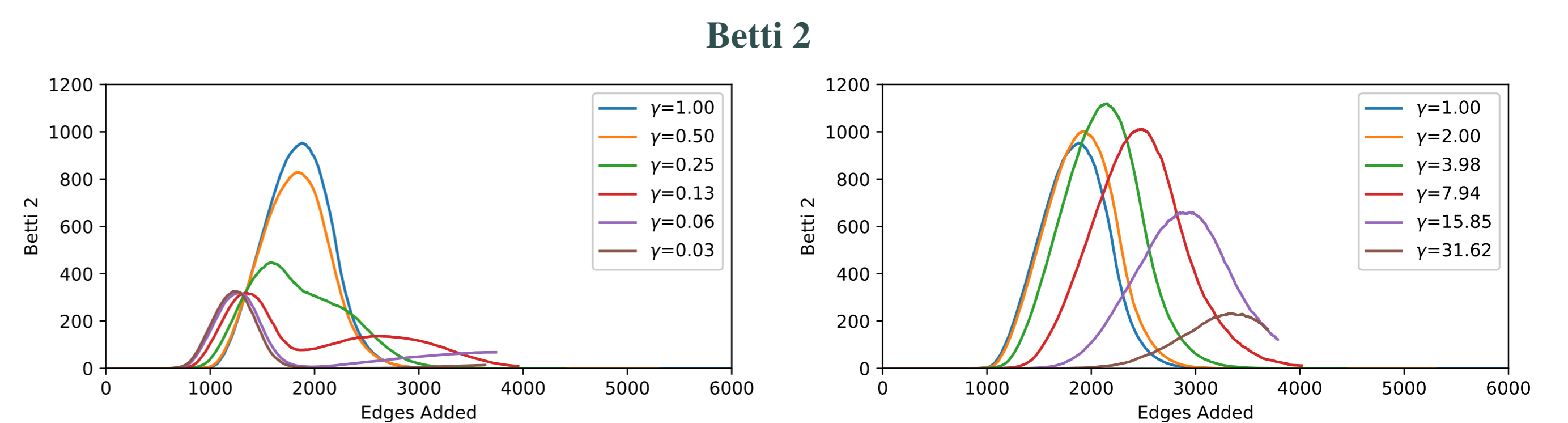
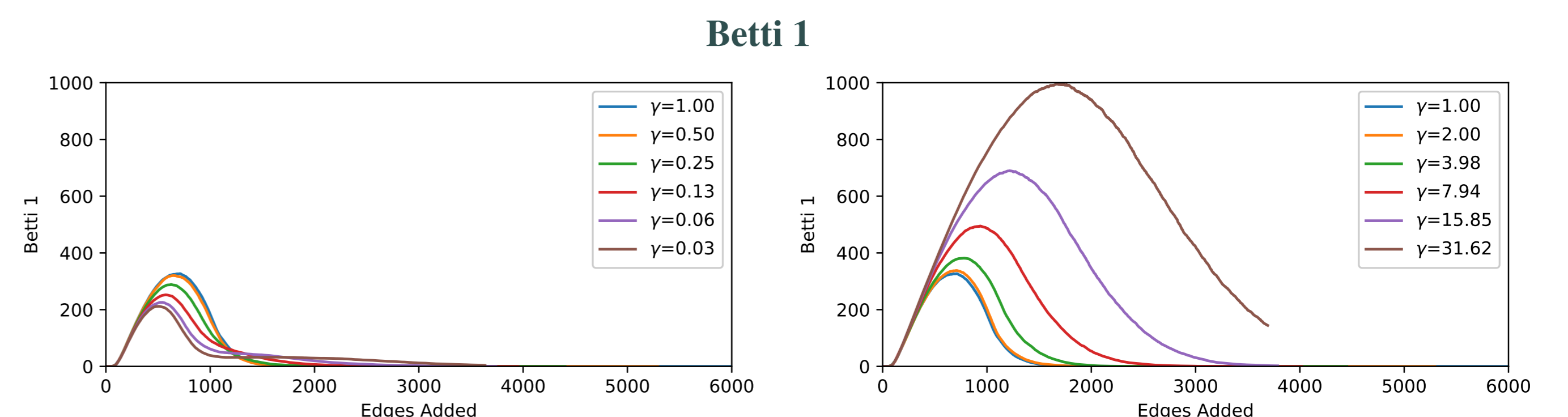
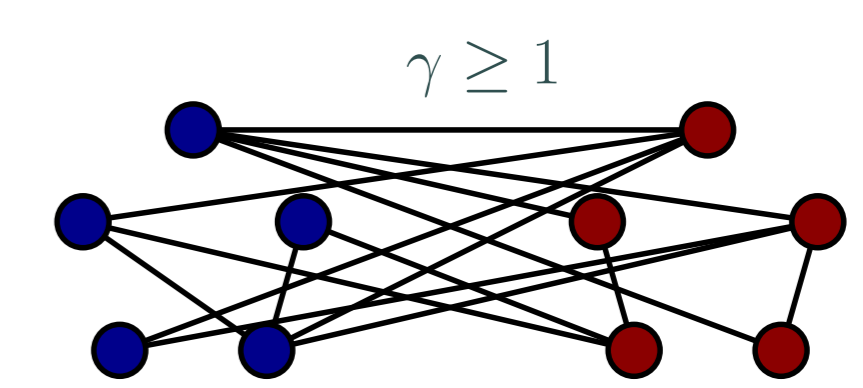
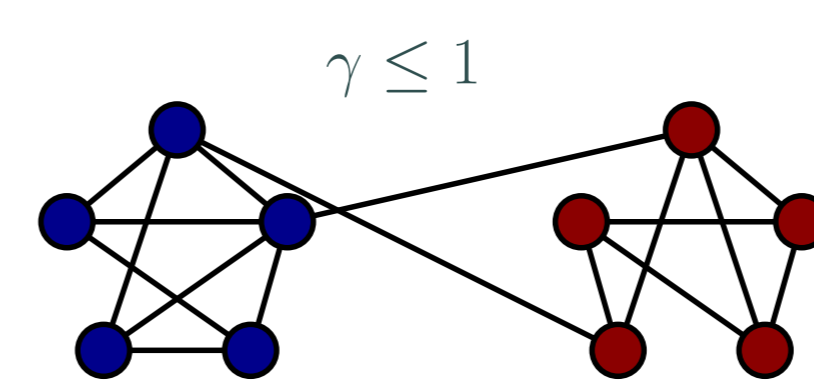
With this theorem, the first step is to understand and bound the spectral gap of the SBM. Several authors have studied the spectral gap of the Erdős-Renyi graph. We note that  $T^{1/2}\mathbf{1}$  is an eigenvector of  $\mathcal{L}$  with eigenvalue 0. Suppose  $\mathcal{S} = \{\mathbf{v} \in \mathbb{R}^n : \mathbf{v} \perp T^{1/2}\mathbf{1} : \|\mathbf{v}\| \leq 1\}$ , then  $\lambda_2(\mathcal{L}) = \min_{\mathbf{v} \in \mathcal{S}} \langle \mathbf{v}, \mathcal{L}\mathbf{v} \rangle$ .

To bound this, one approach is to first bound the contribution from the adjacency matrix  $A$ . The result for the Erdős-Renyi graphs is  $\max_{(\mathbf{v}, \mathbf{w}) \in \mathcal{S} \times \mathcal{S}} |\langle \mathbf{v}, A\mathbf{w} \rangle| \leq c\sqrt{np}$ , with probability at least  $1 - O(n^{-\alpha})$ , where  $c$  is a constant. A common technique is due to Kahn and Szemerédi [3] in which the summands of  $\langle \mathbf{v}, A\mathbf{w} \rangle = \sum_{i,j} v_i A_{i,j} w_j$  are considered in two cases.

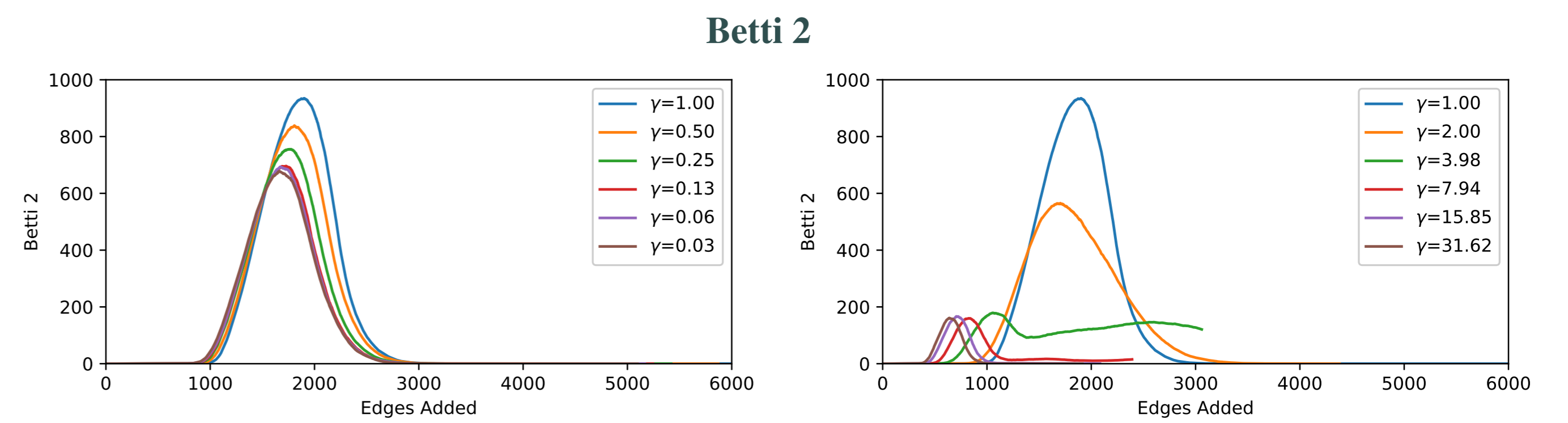
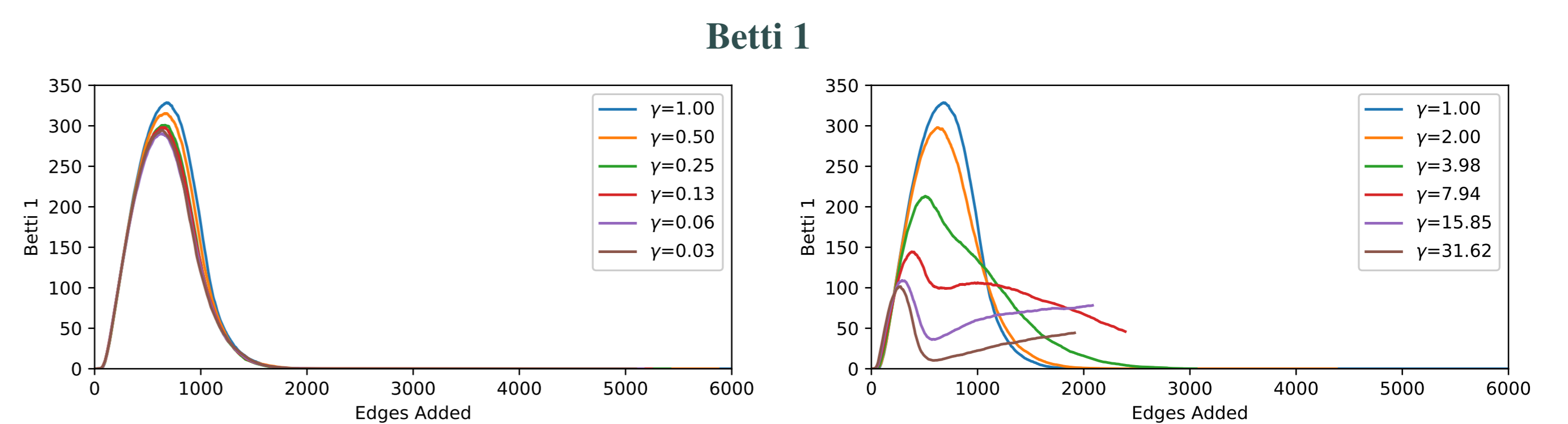
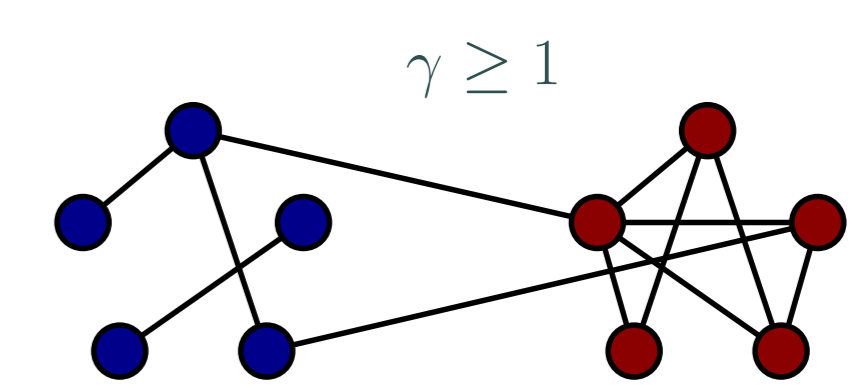
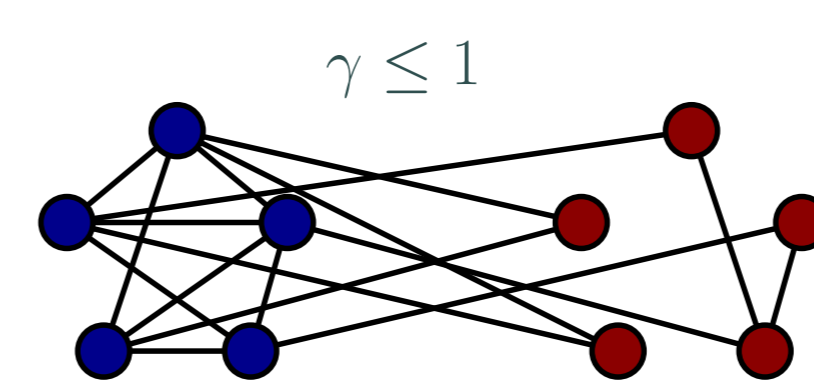
- **Light couples** ( $|v_i w_j| \leq \sqrt{p/n}$ ) whose contribution can be bounded using a concentration inequality
- **Heavy couples** ( $|v_i w_j| > \sqrt{p/n}$ ) whose contribution is bounded using properties of the random graph such as bounded degree and discrepancy

## Numerical Results

**Model A:**  $P \propto \begin{pmatrix} 1 & \gamma \\ \gamma & 1 \end{pmatrix}$



**Model B:**  $P \propto \begin{pmatrix} 1 & 1 \\ 1 & \gamma \end{pmatrix}$



## Questions

- Can we adapt the spectral methods of Hoffman, Kahle and Paquette to derive threshold functions for vanishing homology for the SBM?
- For certain cases, the clique complex has nonvanishing homology at its saturation point (when one part of the graph becomes fully connected). For which values of  $\gamma$  is there non-vanishing homology with high probability at its saturation point?
- There exist more than two transitions (see the Betti 2 curves) in some cases; how can we detect these intermediate regions with vanishing homology?

## References

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